

Review Article

# Human leukocyte antigen polymorphism in chronic and aggressive periodontitis among Caucasians: a meta-analysis

Jamal M. Stein<sup>1</sup>, Helmut K. G. Machulla<sup>3</sup>, Ralf Smeets<sup>4</sup>, Friedrich Lampert<sup>1</sup> and Stefan Reichert<sup>2</sup>

<sup>1</sup>Department of Operative Dentistry, Periodontology and Preventive Dentistry, University Hospital (RWTH), Aachen, Germany; <sup>2</sup>Department of Operative Dentistry and Periodontology, University School of Dental Medicine; <sup>3</sup>Interbranch HLA Laboratory, Department GHATT, Institute of Medical Immunology, Martin-Luther University, Halle-Wittenberg, Germany; <sup>4</sup>Department of Oral and Maxillofacial Surgery, University Hospital (RWTH), Aachen, Germany

Stein JM, Machulla HKG, Smeets R, Lampert F, Reichert S. Human leukocyte antigen polymorphism in chronic and aggressive periodontitis among Caucasians: a meta-analysis. *J Clin Periodontol* 2008; 35: 183–192. doi: 10.1111/j.1600-051X.2007.01189.x.

## Abstract

**Aim:** Multiple studies have reported associations between periodontitis and particular human leukocyte antigens (HLA). Because associations are inconsistent, we conducted a systematic literature review and a meta-analysis focusing on Caucasian case-control studies.

**Material and Methods:** A literature search reporting on the distribution of HLA class I and II phenotypes in Caucasian patients with chronic periodontitis (CP) and aggressive periodontitis (AP) was performed. Data sources included electronic databases and bibliographies of published articles. Screening and data abstraction were conducted independently by different reviewers.

**Results:** Out of 174 publications, 12 studies were considered to be suitable for meta-analysis. In patients with CP, no significant HLA associations were found. Patients with AP showed a positive association with HLA-A9 [odds ratio = 2.59 (95% confidence interval 1.36–4.83),  $p = 0.004$ ] and HLA-B15 [1.90 (1.15–3.16),  $p = 0.01$ ] as well as a negative association with HLA-A2 [0.72 (0.56–0.94),  $p = 0.01$ ] and -B5 [0.49 (0.30–0.79),  $p = 0.004$ ]. On grouping all patients into one periodontitis group (AP+CP), the same deviations were confirmed with higher statistical significance. For HLA-A9 and -B15, significant heterogeneity was found between the studies. No significant associations were found with HLA class II antigens.

**Conclusions:** HLA-A9 and -B15 seem to represent susceptibility factors for AP whereas HLA-A2 and -B5 are potential protective factors against periodontitis among Caucasians.

Key words: aggressive periodontitis; Caucasians; chronic periodontitis; HLA; meta-analysis; MHC

Accepted for publication 19 November 2007

Tissues of the oral cavity represent one of the most important gates for pathogens to enter the host organism, with the potential to develop infectious diseases. Teeth surrounding gingival sulcus offer

microorganisms a unique pathway to form matrix-enclosed biofilms (Socransky & Haffajee 2002) that can be colonized by at least 500 bacterial taxa (Paster et al. 2001). As a consequence, bacterially induced inflammation of the gingiva (gingivitis) occurs with a prevalence of 80–100% in children, young adults and adolescents (Hugoson et al. 1981, Cutress 1986, Jenkins & Papapanou 2001). Gingival inflammation can be self-limited with little or no discernible clinical consequences. Depending on the local ecological conditions of the

gingival sulcus and host response, periodontopathic bacteria of the biofilm are able to overgrow opportunistically (Socransky & Haffajee 1992) and are considered to be factors promoting destructive periodontitis resulting in irreversible loss of both the connective tissue and the bony attachment. However, despite the high prevalence of individuals harbouring bacterial pathogens, only a limited group of otherwise healthy individuals exhibit rapidly progressing severe aggressive periodontitis (AP) while others have no or only

## Conflict of interests and source of funding statement

The authors declare that they have no conflict of interests.

No external funding, apart from the support of the author's institution, was available for this study.

slowly progressing moderate (chronic) forms of periodontal disease. Thus, microbial factors alone are not able to explain interindividual differences in the outcome and course of periodontal diseases.

Susceptibility to periodontal disease has been convincingly demonstrated to be determined in part by genetic predisposition (Hart & Kornman 1997, Michalowicz et al. 2000). Recognition of antigen peptides and their presentation to T cells is crucial for an effective antigen-specific immune response towards periodontal pathogens and underlies genetic control. Because antigen presentation to and thereby activation of T cells is restricted by the major histocompatibility complex (MHC), the polymorphism of the human MHC molecules [human leukocyte antigens (HLA)] can directly affect the binding capability of antigen peptides and thus the antigen-specific T-cell response (Zinkernagel & Doherty 1997). Hence, this polymorphism could provide important susceptibility or resistance factors for periodontitis. Since the 1970s, several research groups have reported associations of HLA class I and II antigens with periodontal diseases. However, consistent results could not be obtained up to now because the studies varied in (i) terms of the number of HLA antigens investigated, (ii) the selection criteria of patients and controls as well as (iii) their ethnic origin. Furthermore, in many studies, (iv) only small numbers of patients ( $N < 40$ ) were investigated. In most articles on HLA associations with chronic (CP) and (AP) forms of periodontitis, Caucasians were recruited. The heterogeneity of these studies makes it difficult to interpret their results, and longitudinal studies on HLA association have not been performed as yet. Therefore, the aim of this work was to undertake a systematic review of all relevant studies on HLA associations with CP and AP among Caucasians and to estimate the overall associations between HLA phenotypes by meta-analysis.

## Material and Methods

### Search strategy and selection criteria

All case-control studies that examined the frequency of HLA-A, -B, -Cw, -DR and/or -DQ phenotypes in Caucasians with aggressive or CP were eligible for this review. A literature search was

performed on electronic databases MEDLINE (1966–2007) and EMBASE (1988–2007). Only papers written in English were considered. Furthermore, the reference lists of all the studies and review articles published in English were screened for additional publications.

For the primary search in both databases, a combination of disease population terms and outcome terms was applied:

### Disease population terms:

- MeSH terms (MEDLINE): “Periodontal diseases” OR
- Headings/all subheadings (EMBASE): “Periodontal disease” OR
- Text words: “Periodontal diseases” OR “Periodontitis” OR “Periodontosis” OR “Juvenile Periodontitis” OR “Adult Periodontitis” OR “CP” OR “Aggressive Periodontitis” OR “Rapidly Progressive Periodontitis” OR “Early-Onset Periodontitis”

### Outcome terms:

- MeSH terms (MEDLINE): “MHC” OR “HLA Antigens” OR
- Headings/all subheadings (EMBASE): “MHC” OR “HLA Antigen” OR
- Text words: “MHC Genes” OR “human leukocyte antigens” OR “HLA Antigens”

### Combined terms:

- Disease population and outcome terms

A diagnosis of AP was accepted if the diagnostic criteria were in accordance with those of the latest nomenclature of the American Academy of Periodontology (AAP) (Armitage 1999) or correlated to the criteria provided by Tonetti & Mombelli (1999) for “localized juvenile periodontitis (LJP)” and “generalized early-onset periodontitis (G-EOP)”. In terms of defining CP, both the terms “chronic” and “adult” periodontitis were accepted if the diagnostic criteria met those of CP provided by the latest nomenclature of the AAP (Armitage 1999). Studies on CP compared with controls with unknown periodontal status were excluded because the control group can be expected to include a high number of subjects with CP due to the high prevalence of CP (18–81% among subjects older than 35 years of age) (Flemmig 1999). In studies on AP, controls with unknown periodontal

status were accepted as the chance of finding patients with AP among controls was very low and considered to be statistically negligible due to the low incidence of AP (ranging from 0.1% for localized to 3.6% for generalized forms) (Loe & Brown 1991, Papapanou 1996).

Two reviewers (J. S. and S. R.) independently screened the titles and abstracts of the search results for possible inclusion in the review. After exclusion of publications on studies other than case-control ones, the full text of all the remaining papers was then obtained for independent assessment against the stated inclusion criteria by three reviewers (J. S., S. R. and H. M.). Any disagreements were resolved by consensus. Because meta-analysis required the knowledge of HLA phenotype frequencies, studies with inappropriate data presentation or inconsistent calculation of HLA phenotype frequencies and/or its statistical significance were excluded. This was also the case if the results of a study have been included in more recent papers. When necessary, authors were contacted for additional information. Table 1 shows the criteria for inclusion and exclusion of the relevant studies.

Studies using either serological or polymerase chain reaction (PCR) techniques to identify HLA type were considered for this review. Moreover, both studies reporting HLA phenotype frequencies of HLA main determinants (e.g. HLA-A9) and HLA split antigens, e.g. HLA-A23 and -A24 (split antigens of HLA-A9), were included. Meta-analysis was not performed on any allele that was identified in only one study.

### Quantitative data analysis

The overall odds ratios (OR) and 95% confidence intervals (CI) were calculated for all published HLA phenotypes using the Review Manager version 4.2 software (Update Software Ltd., Oxford, UK). Statistical heterogeneity was calculated with the  $\chi^2$  test. HLA phenotypes with evidence of homogeneity ( $p > 0.10$ ) were further analysed with a fixed-effects model (Mantel & Haenszel 1959); those with heterogeneous effects ( $p \leq 0.10$ ) were further studied with a random-effects model (DerSimonian & Laird 1986). The fixed-effect method provides an estimate of an overall OR and variance for an allele, when the variation of the estimates is assumed to

Table 1. Criteria for in- and exclusion of studies on HLA associations with chronic (CP) and aggressive (AP) periodontitis for systematic review and meta-analysis

Criteria	Studies on HLA associations with CP		Studies on HLA associations with AP	
	inclusion	exclusion	inclusion	exclusion
Type of study	Case-control	Other than case-control	Case-control	Other than case-control
Ethnic origin of study populations	Caucasians	No Caucasians, mixed or unknown	Caucasians	No Caucasians, mixed or unknown
Patients	Defined according to the criteria for "chronic" or "adult periodontitis"	Cannot be referred to the criteria for "chronic" or "adult periodontitis"	Defined according to the criteria for "aggressive", "early-onset", "rapidly progressive", "localized" or "generalized juvenile periodontitis"	Cannot be referred to the criteria for "aggressive", "early-onset (EOP)", "rapidly progressive" (RPP), "localized/generalized (LJP/GJP) juvenile periodontitis (JP)"
Controls	Defined as periodontitis free	Not defined as periodontitis free	Periodontitis free or not examined	-
Data presentation (HLA phenotype frequencies, calculation of statistical significance)	Consistent and reproducible	Inconsistent and/or not reproducible	Consistent and reproducible	Inconsistent and/or not reproducible
Subjects included in recent HLA association papers	No	Yes	No	Yes

HLA, human leukocyte antigens.

be as a result of random sampling error. The random-effects model considers variation across studies as well as variation of the allelic effect (within-study variation).

## Results

### Search results and study characteristics

The primary search resulted in the identification of 174 publications on HLA and periodontitis using the stated search criteria, out of which 28 case-control studies on HLA association with chronic and AP could be selected. Of these studies, 19 dealt with probands of Caucasian origin. According to the inclusion criteria, 12 publications were considered to be suitable for meta-analysis (Fig. 1), while seven studies were excluded because of results published in recent HLA association studies and inappropriate data presentation (Table 2). Out of the included studies, nine reported HLA associations in patients with AP (Table 3), and six in patients with CP (Table 4).

Reinholdt et al. (1977) examined both patients with adult (chronic) and juvenile (aggressive) periodontitis, out of which only patients with juvenile periodontitis were included in the meta-analysis. The patient group with adult periodontitis was excluded because the control group was not free from periodontitis.

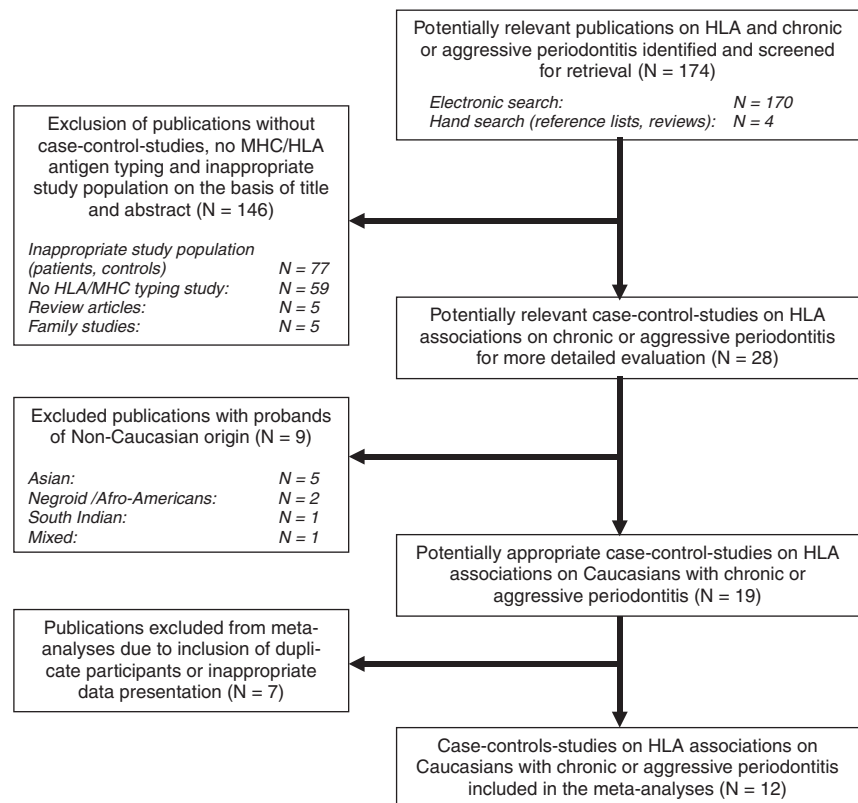


Fig. 1. Flow diagram for inclusion of studies in the meta-analysis.

The results of the study of Terasaki et al. (1975) have also been published in the study by Kaslick et al. (1975) and, moreover, were included in the follow-up study of Kaslick et al. (1980), except

for the results of HLA-B5 and -B18 (Dr. R. Kaslick, personal communication). Therefore, only for the phenotype frequencies of HLA-B5 and -B18 was the study of Terasaki et al. (1975)

Table 2. Excluded case-control studies on HLA associations on Caucasians with chronic (CP) and aggressive periodontitis (AP) excluded from systematic review

Author (year)	Patients (N)	Controls (N)	Reported HLA associations	Reason for exclusion
Kaslick et al. (1975)	JP (19) AdultP (28)	No period. (41)	JP: A2 ↓ AdultP: A2 ↓	Results already published in <i>Terasaki et al. (1975)</i> and also included in the follow-up study by <i>Kaslick et al. (1980)</i>
Marggraf et al. (1983)	ProfP (50)	BD (257)	A9 ↑, B44 ↑, B35 ↑, Cw4 ↑	Diagnosis could not be referred to chronic or aggressive periodontitis; discrepancies in calculation of phenotype frequencies (e.g. pf [HLA-B12+] > pf [HLA-B44+])
Topic et al. (1986)	LJP (40)	Popul. (1000)	A1 ↑, A2 ↑, A3 ↑, B35 ↑ B12 ↑, B18 ↑, B40 ↑	Discrepancies in calculation of HLA phenotype frequencies and statistical significance as basis for interpretation
Firatli et al. (1996)	JP (30) RPP (30)	Popul. (3731)	JP+RPP: A9 ↑, DR4 ↑, A1 ↓, A2 ↓	Discrepancies in calculation of HLA phenotype frequencies and/or calculation of statistical significance as basis for interpretation
Dyer et al. (1997)	AdultP (15)	No period. (15)	DR4 ↑, DR53 ↑, DQ3 ↑	Results have already been published in <i>Alley et al. (1993)</i>
Reichert et al. (2003)	AP (50) CP (102)	No period. (102)	Variety of associations	Differentiation of the results of Machulla et al. (2002) as to gender differences in HLA association (original data published in Machulla et al. 2002)
Stein et al. (2003)	AP (50) CP (102)	No period. (102)	Variety of associations	Differentiation of the results of Machulla et al. (2002) as to HLA allele combinations (original data published in Machulla et al. 2002)

AdultP, adult periodontitis; CP, chronic periodontitis; AP, aggressive periodontitis; JP, juvenile periodontitis, LJP, localized juvenile periodontitis; RPP, rapidly progressive periodontitis, ProfP, profound periodontitis; BD, blood donors; popul., population; no period., no periodontitis; HLA, human leukocyte antigens.

Table 3. Case-control studies on HLA associations on Caucasians with aggressive periodontitis (AP) included in meta-analysis

Authors	Year	Population	Patients (N)	Controls (N)	Reported HLA associations
Terasaki et al.*	1975	USA	JP (19)	No periodontitis (41)	A2 ↓
Reinholdt et al.	1977	Denmark	JP (39)	Population (1967)	A9 ↑, A28 ↑, B15 ↑
Kaslick et al.	1980	USA	JP (33)	No periodontitis (53)	A2 ↓
Cullinan et al.	1980	England	JP (12)	Population (174)	A30 ↓, B12 ↓
Klouda et al.	1986	England	RPP (44)	Cadaver kidney donors (2041)	A9 ↑ (A24 ↑)
Katz et al.	1987	Israel	RPP (10)	Blood donors (120)	DR4 ↑
Amer et al.	1988	England	RPP (49)	No periodontitis (40)	A10 ↓
Shapira et al.	1994	Israel	LJP (11) SGP (15)	Unexamined volunteers (113) Unexamined volunteers (113)	– A9 ↑ (A24 ↑), B15 ↑
Machulla et al.	2002	Germany	RPP (50)	No periodontitis (102)	A11 ↑, A29 ↑, DRB1*13 ↑, A31 ↓, A30/31 ↓, DRB1 ↓

The arrows show whether a marker was found more (↑) or less (↓) frequent among patients. DRB1, DRB1 (no DRB3/4/5).

\*Terasaki et al. (1975) was only included for calculation of HLA-B18 and HLA-B5, all other results included in Kaslick et al. (1980).

JP, juvenile periodontitis, RPP, rapidly progressive periodontitis, LJP, localized juvenile periodontitis, SGP, severe generalized periodontitis; HLA, human leukocyte antigens.

Table 4. Case-control studies on HLA associations on Caucasians with chronic periodontitis (CP) included in meta-analysis

Authors	Year	Population	Patients (N)	Controls (N)	Reported HLA associations
Terasaki et al.*	1975	USA	Adult P (28)	No periodontitis (41)	A2 ↓
Kaslick et al.	1980	USA	Adult P (41)	No periodontitis (53)	A2 ↓
Goteiner & Goldman	1984	USA	Adult P (15)	No periodontitis (15)	B5 ↓
Blandin-Texier et al.	1986	France	CP (62)	No periodontitis (44)	A9 ↑
Alley et al.	1993	USA	Adult P (15)	No periodontitis (15)	DR4 ↑
Machulla et al.	2002	Germany	Adult P (102)	No periodontitis (102)	A11 ↑, A29 ↑, B14 ↑, Cw8 ↑, A3 ↓, A31 ↓, A30/31 ↓

Adult P, adult periodontitis, CP, chronic periodontitis; HLA, human leukocyte antigens. The arrows show whether a marker was found more (↑) or less (↓) frequent among patients.

\*Terasaki et al. (1975) was only included for calculation of HLA-B18 and HLA-B5, all other results included in Kaslick et al. (1980).

Table 5. HLA-antigens included in meta-analysis for chronic (CP) and aggressive (AP) periodontitis

Studies with	HLA-A	HLA-B	HLA-Cw	HLA-DR	HLA-DQ
CP	A1, A2, A3, A9, A10, A11, A29 (A19), A28	B15, B18, B5	–	DR1, DR2, DR3, DR4, DR5, DR6, DR7, DR8, DR9, DR10	DQ1, DQ6 (DQ1), DQ2, DQ3
AP	A1, A2, A3, A9, A23 (A9), A24 (A9), A10, A11, A29 (A19), A30 (A19), A31 (A19), A28	B51 (B5), B52 (B5), B12, B44 (B12), B45 (B12), B13, B14, B15, B18, B27, B35, B40	Cw1, Cw2, Cw3, Cw4, Cw5, Cw6, Cw7, Cw8	DR1, DR2, DR3, DR4, DR5, DR6, DR7, DR8, DR9, DR10	–

HLA, human leukocyte antigens.

considered for meta-analysis, for all other HLA antigens, the follow-up study of Kaslick et al. (1980) was included. The paper of Kaslick et al. (1975) was excluded (Table 2).

In the publications of Cullinan et al. (1980) and Goteiner & Goldman (1984), both Caucasian and Negroid patient and control groups have been reported. For meta-analysis, only the Caucasian groups of patients and controls have been considered.

**MHC and CP**

Given at least two studies for each examined HLA marker, it was possible to perform a meta-analysis for 25 HLA markers (11 HLA class I, 14 HLA class II markers; Table 5) in patients with CP. Combined analysis of all HLA antigen frequencies did not reveal any significant positive or negative associations. There was only a slight tendency for an increased frequency of HLA-A9, -B15 and -A29 (A19) and a decreased occurrence of HLA-A2, A3 and -B5 among patients with CP (Table 6).

**MHC and AP**

In patients with AP, meta-analysis was performed for 42 HLA markers (32 HLA class I, 10 HLA class II markers; Table 5). Calculations resulted in significantly positive associations of HLA-A9 and -B15 with increased OR, whereas HLA-A2 and -B5 had significantly negative associations with lower frequencies of these markers among the patients (Table 6). Interestingly, the HLA associations of HLA-A2 and -B5 showed homogenous effects between all studies. There are not enough data to demonstrate whether the associations of HLA-A9, -B15 and -B5 were caused by the association of only one or both their split antigens (HLA-A23, -A24 for HLA-A9; HLA-B62, -B63, -B75, -B76, -B77 for HLA-B15; and HLA-

B51, -B52 for HLA-B5). However, among those studies that considered the split antigens, association with HLA-A9 was mainly caused by HLA-A24 (five studies: phenotype frequency = 27.37% versus 17.01%; OR = 2.01; *p* = 0.12). Only two studies (Shapira et al. 1994, Machulla et al. 2002) examined HLA-B15 split antigens, but none of the splits showed a predominant association. Besides, there was a slight non-

significant trend for a lower frequency of HLA-DR1 in AP patients (Table 6).

**MHC and periodontitis (CP+AP)**

In order to increase the power of the study, both groups with AP and CP were grouped into one periodontitis group. This patient group can be considered to consist of patients with periodontitis independent of the age of onset. In this

Review: HLA associations to periodontal disease among Caucasians (Version 01)  
 Comparison: 04 AP+CP versus controls  
 Outcome: 01 HLA-A2

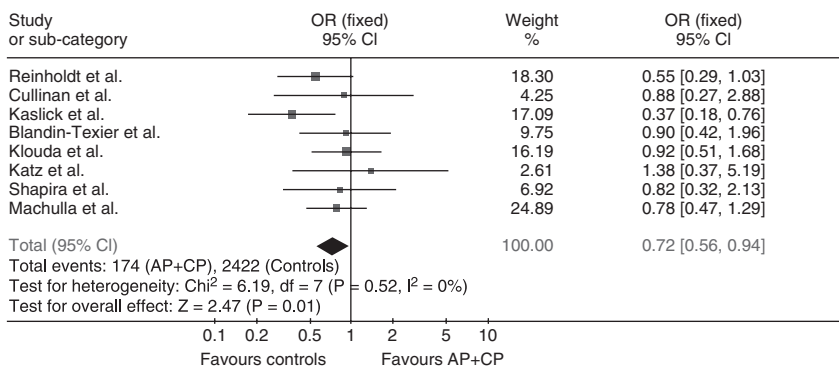


Fig. 2. Combined analysis of the odds ratio (OR) of HLA-A2 in patients with periodontitis (AP+CP). AP, aggressive periodontitis; CP, chronic periodontitis.

Review: HLA associations to periodontal disease among Caucasians (Version 01)  
 Comparison: 04 AP+CP versus controls  
 Outcome: 03 HLA-A9

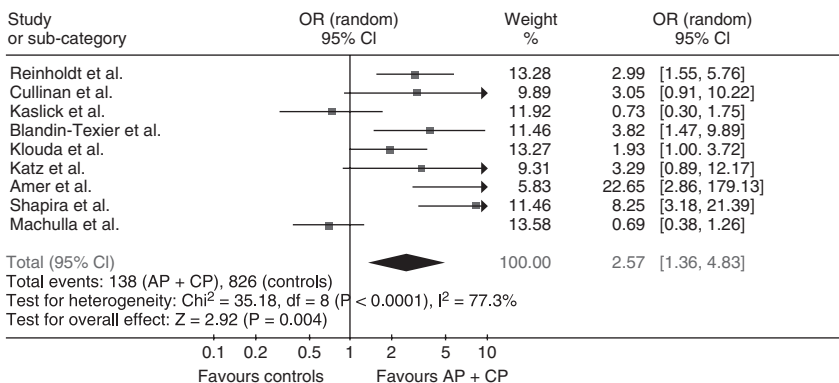


Fig. 3. Combined analysis of the odds ratio (OR) of HLA-A9 in patients with periodontitis (AP+CP). AP, aggressive periodontitis; CP, chronic periodontitis.

Review: HLA associations to periodontal disease among Caucasians (Version 01)  
 Comparison: 04 AP+CP versus controls  
 Outcome: 02 HLA-B5

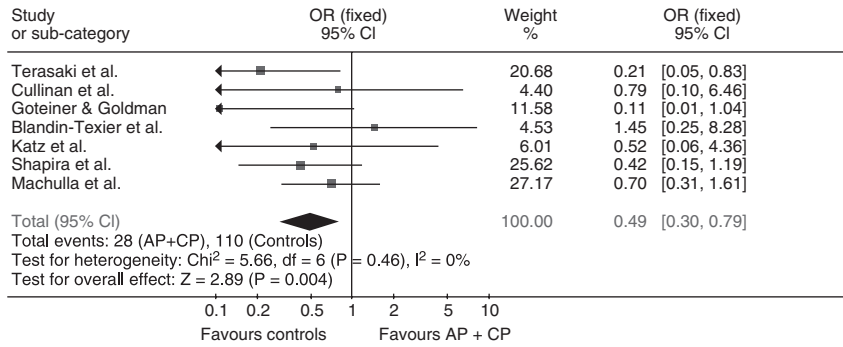


Fig. 4. Combined analysis of the odds ratio (OR) of HLA-B5 in patients with periodontitis (AP+CP). AP, aggressive periodontitis; CP, chronic periodontitis.

Review: HLA associations to periodontal disease among Caucasians (Version 01)  
 Comparison: 04 AP+CP versus controls  
 Outcome: 04 HLA-B15

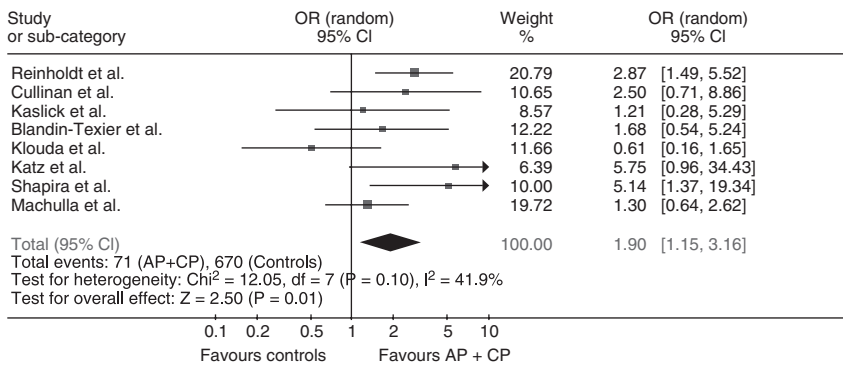


Fig. 5. Combined analysis of the odds ratio (OR) of HLA-B15 in patients with periodontitis (CP+AP). AP, aggressive periodontitis; CP, chronic periodontitis.

Table 6. Deviations of HLA-antigen frequencies in patients with chronic periodontitis (CP), aggressive periodontitis (AP) and total group of aggressive and chronic periodontitis (AP+CP) in comparison to control probands

HLA	Studies	Patients (n/pf)	Controls (n/pf)	Heterogeneity (p)	Model	OR*	Overall effect (p)	95% CI
<b>CP</b>								
A2	D, F, K	90/43.90	103/51.76	0.53	Fixed	0.72	>0.05	0.49, 1.07
A3	F, K	36/21.95	44/30.14	0.20	Fixed	0.65	>0.05	0.39, 1.09
A9	D, F, K	56/27.32	45/22.61	0.02	Random	1.36	>0.05	0.51, 3.60
A29 (A19)	F, K	14/8.54	5/3.42	0.15	Fixed	2.40	>0.05	0.85, 6.83
B5	A, E, F, K	15/7.25	30/14.85	0.08	Random	0.42	>0.05	0.11, 1.61
B15	D, F, K	31/15.12	22/11.06	0.83	Fixed	1.37	>0.05	0.76, 2.48
<b>AP</b>								
A2	B, C, D, G, H, J, K	84/39.25	2401/52.54	0.59	Fixed	<b>0.69</b>	<b>0.01</b>	0.51, 0.93
A9	B, C, D, G, H, I, J, K	82/31.18	819/17.77	0.0001	Random	<b>2.39</b>	<b>0.02</b>	1.16, 4.92
B5	A, C, H, J, K	13/11.11	102/18.55	0.99	Random	<b>0.50</b>	<b>0.03</b>	0.26, 0.95
B15	B, C, D, G, H, J, K	40/18.69	665/14.55	0.08	Random	<b>2.03</b>	<b>0.02</b>	1.11, 3.72
DR1	H, J, K	9/10.47	55/16.42	0.85	Fixed	0.49	>0.05	0.22, 1.05
<b>Total periodontitis group (CP+AP)</b>								
A2	B, C, D, F, G, H, J, K	174/41.53	2422/52.49	0.52	Fixed	<b>0.72</b>	<b>0.01</b>	0.56, 0.94
A9	B, C, D, F, G, H, I, J, K	138/29.49	826/17.75	< 0.0001	Random	<b>2.57</b>	<b>0.004</b>	1.36, 4.83
B5	A, C, E, F, H, J, K	28/8.64	110/18.06	0.46	Fixed	<b>0.49</b>	<b>0.004</b>	0.30, 0.79
B15	B, C, D, F, G, H, J, K	71/16.95	670/14.52	0.01	Random	<b>1.90</b>	<b>0.01</b>	1.15, 3.16

\*Unadjusted for any confounders.

pf, phenotype frequency; HLA, human leucocyte antigens; OR, odds ratio; CI, confidence interval; fixed, fixed effects-model; random, random-effects model; A-I refer to the following studies: A, Terasaki et al. (1975); B, Reinholdt et al. (1977); C, Cullinan et al. (1980); D, Kaslick et al. (1980); E, Goteiner & Goldman (1984); F, Blandin-Textier et al. (1986); G, Klouda et al. (1986); H, Katz et al. (1987); I, Amer et al. (1988); J, Shapira et al. (1994); K, Machulla et al. (2002).

analysis, the negative associations to HLA-A2, -B5 and the positive associations with HLA-A9 and -B15 found in the AP group could be confirmed for all periodontitis patients (Table 6) (Figs 2-5). The associations with HLA-A9 and -B5 showed the highest value of statistical significance.

**Discussion**

Two of the main problems in defining genetic risk factors for periodontitis are the heterogeneity of the examined diseases and ethnic aspects of the distribution of the genetic markers. In the systematic review presented, which is the first on this topic, only studies on Caucasians were included because most information about HLA and periodontitis is available in these populations and thus was felt to be suitable for a first combined analysis. Similarly, we focused on case-control studies as the majority of published articles reported this type of study, which is again suitable for meta-analysis, whereas no cohort study and only a few segregation analyses in families are available.

A search strategy was chosen that only allowed the inclusion of articles, in which patient groups were clearly defined according to the criteria of the latest nomenclature and controls were

sure to be free of the disease. Because the definition of the control group is dependent on the prevalence of the disease examined in the patient group (a high prevalence of CP requires selection of controls without periodontitis; a low prevalence of AP allows the inclusion of controls with unknown periodontal status), the choice of the controls affects the contrast between "diseased" and "healthy" and is an important factor for the quality of case-control studies. This has not been considered in many previous studies on HLA and periodontitis and was therefore an important criterion for inclusion.

Finally, six studies on CP and nine studies on AP were used for meta-analysis. Contrary to previous reports, no significant HLA associations in patients with CP were detected. Only slight deviations of the frequency of particular HLA antigens (A9, A29, B15, A2, B5) were detected. This result may have been due to inclusion of studies on CP with periodontitis-free controls assuming that the missing contrast between periodontitis and controls in most of the excluded studies might have led to different findings. Second, by combining several studies with and without reported associations, particular associations might have lost its significance. In patients with AP, meta-analysis resulted in a significantly negative association of HLA-A2 and -B5 and a significantly positive association of HLA-A9 and -B15. The differentiation of localized and generalized forms of AP was not carried out as only one paper differentiated both forms and no associations were found for the localized form (Shapira et al. 1994). When all patients were combined (AP+CP), the same associations of HLA-A9, -B15, -A2 and -B15 were confirmed with even higher significance for HLA-A9 and -B5 (Table 6). The findings of HLA-A9, -B15 and -A2 are known from previous studies on juvenile (Kaslick et al. 1975, Terasaki et al. 1975, Reinholdt et al. 1977) and rapidly progressive periodontitis (Klouda et al. 1986, Shapira et al. 1994). The decreased frequency of HLA-B5, however, has only been identified in patients with chronic adult periodontitis (Goteiner & Goldman 1984).

An important issue for interpretation of meta-analyses is disease heterogeneity. Summarizing the results of AP+CP, significant heterogeneity between the studies was only observed for the positively associated HLA antigens A9 and

B15 (Figs 3 & 5), not for A2 and B5 (Figs 2 & 4). The following reasons can be supposed: (I) A clear definition of chronic and aggressive periodontitis seems to be more difficult than the definition of absence of periodontitis. Although we summarized studies meeting the criteria for CP or AP, differences in certain disease characteristics such as age of onset in AP studies (e.g. Klouda et al. (1986): 23–39 years *versus* Cullinan et al. (1980):  $\leq 25$  years) or assessment of attachment loss (clinical attachment loss, radiographic bone loss, different number of teeth involved) could not be avoided and might in part explain heterogeneity. (II) The association of HLA antigens A9 and B15 could be based on association of only one of their split antigens. Because HLA typing of split antigens has only been performed in a few studies, such effects remain undetected and thus might explain heterogeneity. (III) In several studies (Tables 3 and 4), only a small number of subjects ( $N < 40$ ) were included, which limits the statistical validity of the associations found. (IV) Finally, in nearly all the included studies, subjects have not been characterized as to behavioural risk factors such as smoking, stress or others. Especially, smoking is an important risk factor for both chronic (Van Dyke & Sheilesh 2005, Bergstrom 2006) and aggressive periodontitis (Kamma et al. 2004, Meng et al. 2007). HLA-A2 and -B5 could yield a very efficient antimicrobial T-cell response, reducing the disease promoting influence of risk factors such as smoking. Hence, these HLA antigens might confer an independent protective effect, which could explain their homogeneity among the studies. On the other hand, the potential susceptibility markers HLA-A9 and -B15 might promote periodontitis besides or in addition to other risk factors. In studies that did not exclude other risk factors, periodontitis could have been promoted either due to HLA association or due to other factors that might explain the heterogeneity of HLA-A9 and -B15. This indicates HLA-A9 and -B15 as being potential risk indicators for AP, whereas HLA-A2 and -B5 might rather confer a non-specific protective effect towards periodontitis.

Interestingly, no class II markers were significantly associated with AP or CP. This was in contrast to previous publications in which HLA-DR4 and its alleles have been repeatedly reported to

be associated with adult chronic (Alley et al. 1993, Dyer et al. 1997) or rapidly progressive periodontitis (Katz et al. 1987, Firatli et al. 1996, Bonfil et al. 1999). The reason for the contrasting finding may be because of the exclusion of studies in which the results have been published before (Dyer et al. 1997) (Table 2), included a mixed population as to its ethnic background (Bonfil et al. 1999) and in which the data presentation and/or calculation of statistical significance was not consistent (Firatli et al. 1996) (Table 2). The remaining studies (Katz et al. 1987, Alley et al. 1993) reporting DR4 associations lost their significance when combined with adequate studies in which DR4 was not increased among patients. Nonetheless, there was a previously unknown but non-significant tendentious-positive association of HLA-DR1 in AP patients, which is worth considering in further studies.

#### Possible biological mechanism of HLA association

While HLA class II antigens are able to bind peptides derived from exogenous antigens (e.g. periodontopathic bacteria) and present them to CD4+ T cells, HLA class I antigens predominantly present peptides derived from intracellular antigens such as viruses and self-antigens to CD8+ cytotoxic T cells (CTL) (Jackson & Peterson 1993). Herpesviruses have been detected in periodontal pockets and gingival biopsies of patients with periodontitis (Contreras et al. 2000, Slots 2005) and significantly more EBV and HCMV viruses have been found in active pockets than in stable sites of patients with AP (Kamma et al. 2001). The authors of these studies concluded that active herpesviral infection impaired antibacterial immune response, thereby permitting subgingival overgrowth of periodontopathic bacteria (Contreras & Slots 2000, Kamma & Slots 2003). Our results may suggest that binding and presenting of herpesviral (EBV, HCMV) peptides could be less efficient in patients with HLA-A9 (A24) or -B15, leading to an inadequate antiviral CTL response. Besides, sequence homology between EBV/HCMV peptides and self-peptides restricted by HLA-A9/-B15 might lead to cross-tolerance or autoimmune responses. On the contrary, individuals with HLA-A2 and -B5 may be able to bind and present the appropriate antigen peptides better than others, resulting in effective activation

of CTL, e.g. HLA-A2 restricted CTL response towards EBV/HCMV peptides of the lytic phase (EBV-derived Rta; HCMV-derived IE (Frankenberg et al. 2002, Khan et al. 2002) or HLA-A2 and -B5 restricted CTL response against EBV/HCMV peptides of the latent phase [EBV-derived EBNA (Burrows et al. 1994, Lee et al. 1997, Pepperl et al. 1998)], LMP (Steven et al. 1997, Khanna et al. 1999); HCMV-derived pp65 (Wills et al. 1996), which could prevent infection of immunocompetent cells by and/or limit reactivation of herpesviruses so that an immune response against periodontopathic bacteria can be perpetuated.

### Strength and limitations of the study

Our study has several strengths. Owing to combined analysis, it was possible to compare a large number of patients with AP and CP with their controls, reaching a higher statistical power than the previously published articles. Owing to selection of studies in which study populations belong to the same ethnic origin and controls were free of the corresponding disease, publication bias could be reduced and a high contrast between diseased and healthy subjects could be achieved. Finally, due to meta-analysis all previously reported HLA associations with periodontitis among Caucasians have been limited to only four potentially relevant HLA antigens.

The present study also has potential limitations that have to be considered. First, the results of this paper are only applicable to Caucasian populations. Because the results of studies on Blacks (Cogen et al. 1986, Moses et al. 1994) and Japanese (Ashikaga 1984, Ohyama et al. 1996) suggest that alleles other than those shown in the review may be associated with AP or CP, a separate review is recommended for other non-Caucasian populations. Second, although a comprehensive data search has been carried out, a few studies might not have been identified if they were not listed in databases or reference lists or they were not considered for publication because of reporting no or only a few HLA associations (publication bias). Furthermore, we only focused on HLA main and split antigens, not on alleles. However, we think that identification of associated HLA main antigen determinants must be the first step for a more detailed focus on HLA antigen alleles

providing the base for peptide-binding analyses.

In summary, we found a positive association of HLA-A9, -B15 and a negative association of HLA-A2 and -B5 for AP and a total periodontitis group (CP+AP). Based on the current knowledge about herpesviruses in periodontitis and epitope restriction by the associated HLA markers, we hypothesize that HLA-A9- and -B15-positive patients might be at a higher risk of an inadequate (antiviral) immune response with an advanced (aggressive) course of periodontitis, while HLA-A2- and -B5-positive patients might be more capable of controlling viral-bacterial co-infection and thus preventing periodontitis.

It is recommended that, in future, multi-centre studies with well-defined study populations regarding known risk factors for periodontitis are carried out in order to verify and specify the presented associations, especially their benefit as potential prognostic markers. Further studies should also focus on the level of HLA alleles and their ability to bind herpesviral epitopes and stimulate effective CTL responses.

### Acknowledgements

We thank Prof. Dr. R. D. Hilgers for his support in statistical analyses of the presented data.

### References

- Alley, C. S., Reinhardt, R. A., Maze, C. A., DuBois, L. M., Wahl, T. O., Duckworth, W. C., Dyer, J. K. & Petro, T. M. (1993) HLA-D and T lymphocyte reactivity to specific periodontal pathogens in type 1 diabetic periodontitis. *Journal of Periodontology* **64**, 974–979.
- Amer, A., Singh, G., Darke, C. & Dolby, A. E. (1998) Association between HLA antigens and periodontal disease. *Tissue Antigens* **31**, 53–58.
- Armitage, G. C. (1999) Development of a classification system for periodontal diseases and conditions. *Annals of Periodontology* **4**, 1–6.
- Ashikaga, T. (1984) Association between HLA-antigens and periodontal disease. *Josai Shika Daigaku Kiyo* **13**, 275–284.
- Bergstrom, J. (2006) Periodontitis and smoking: an evidence-based appraisal. *Journal of Evidence-Based Dental Practice* **6**, 33–41.
- Blandin-Texier, A., Gueguen, M., Fauchet, R., Yardin, M. & Cathelineau, G. (1986) Antigen HLA. A9 et parodontitis chroniques [The HLA-A9 antigen and chronic periodontitis]. *Journal de Parodontologie* **5**, 221–227.
- Bonfil, J. J., Dillier, F. L., Mercier, P., Reviron, D., Foti, B., Sambuc, R., Brodeur, J. M. & Sedarat, C. (1999) A 'case control' study on the role of HLA DR4 in severe periodontitis and rapidly progressive periodontitis. *Journal of Clinical Periodontology* **26**, 77–84.
- Burrows, S. R., Khanna, R., Burrows, J. M. & Moss, D. J. (1994) An alloresponse in humans is dominated by cytotoxic T lymphocytes (CTL) cross-reactive with a single Epstein-Barr virus CTL epitope: implications for graft-versus-host disease. *The Journal of Experimental Medicine* **179**, 1155–1161.
- Cogen, R. B., Roseman, J. M., Al-Joburi, W., Louv, W. C., Acton, R. T., Barger, B. O., Go, R. C. & Rasmussen, R. A. (1986) Host factors in juvenile periodontitis. *Journal of Dental Research* **65**, 394–399.
- Contreras, A., Nowzari, H. & Slots, J. (2000) Herpesviruses in periodontal pocket and gingival tissue specimens. *Oral Microbiology and Immunology* **15**, 15–18.
- Contreras, A. & Slots, J. (2000) Herpesviruses in human periodontal disease. *Journal of Periodontal Research* **35**, 3–16.
- Cullinan, M. P., Sachs, J., Wolf, E. & Seymour, G. J. (1980) The distribution of HLA-A and -B antigens in patients and their families with periodontitis. *Journal of Periodontal Research* **15**, 177–184.
- Cutress, T. W. (1986) Periodontal health and periodontal disease in young people: global epidemiology. *International Dental Journal* **36**, 146–152.
- DerSimonian, R. & Laird, N. (1986) Meta-analysis in clinical trials. *Controlled Clinical Trials* **7**, 177–188.
- Dyer, J. K., Peck, M. A., Reinhardt, R. A., Duckworth, W. C., Booth, S. J., Seymour, G. J. & Patil, K. D. (1997) HLA-D types and serum IgG responses to Capnocytophaga in diabetes and periodontitis. *Journal of Dental Research* **76**, 1825–1832.
- Firatli, E., Kantarci, A., Cebeci, I., Tanyeri, H., Sonmez, G., Carin, M. & Tuncer, O. (1996) Association between HLA antigens and early onset periodontitis. *Journal of Clinical Periodontology* **23**, 563–566.
- Flemmig, T. F. (1999) Periodontitis. *Annals of Periodontology* **4**, 32–38.
- Frankenberg, N., Pepperl-Klindworth, S., Meyer, R. G. & Plachter, B. (2002) Identification of a conserved HLA-A2 restricted decapeptide from the IE1 protein (pUL123) of human cytomegalovirus. *Virology* **295**, 208–216.
- Goteiner, D. & Goldman, M. J. (1984) Human lymphocyte antigen haplotype and resistance to periodontitis. *Journal of Periodontology* **55**, 155–158.
- Hart, T. C. & Kornman, K. S. (1997) Genetic factors on the pathogenesis in periodontitis. *Periodontology 2000* **14**, 202–215.
- Hugoson, A., Koch, G. & Rylander, H. (1981) Prevalence and distribution of gingivitis-



- periodontitis in children and adolescents. *Swedish Dental Journal* **5**, 91–103.
- Jackson, M. R. & Peterson, P. A. (1993) Assembly and intracellular transport of MHC class I molecules. *Annual Reviews of Cell Biology* **9**, 207–235.
- Jenkins, W. M. & Papanou, P. N. (2001) Epidemiology of periodontal disease in children and adolescents. *Periodontology* **2000** **26**, 16–32.
- Kamma, J. J., Contreras, A. & Slots, J. (2001) Herpes viruses and periodontopathic bacteria in early-onset periodontitis. *Journal of Clinical Periodontology* **28**, 879–885.
- Kamma, J. J., Giannopoulou, C., Vasdekis, V. G. & Mombelli, A. (2004) Cytokine profile in gingival crevicular fluid of aggressive periodontitis: influence of smoking and stress. *Journal of Clinical Periodontology* **31**, 894–902.
- Kamma, J. J. & Slots, J. (2003) Herpesviral-bacterial interactions in aggressive periodontitis. *Journal of Clinical Periodontology* **30**, 420–426.
- Kaslick, R. S., West, T. L. & Chasens, A. I. (1980) Association between ABO blood groups, HL-A antigens and periodontal diseases in young adults: a follow-up study. *Journal of Periodontology* **51**, 339–342.
- Kaslick, R. S., West, T. L., Chasens, A. I., Terasaki, P. I., Lazzara, R. & Weinberg, S. (1975) Association between HL-A2 antigen and various periodontal diseases in young adults. *Journal of Dental Research* **54**, 424.
- Katz, J., Goultschin, J., Benoliel, R. & Brautbar, C. (1987) Human leukocyte antigen (HLA) DR4. Positive association with rapidly progressing periodontitis. *Journal of Periodontology* **58**, 607–610.
- Khan, N., Cobbold, M., Keenan, R. & Moss, P. A. (2002) Comparative analysis of CD8+T cell responses against human cytomegalovirus proteins pp65 and immediate early 1 shows similarities in precursor frequency, oligoclonality, and phenotype. *Journal of Infectious Diseases* **185**, 1025–1034.
- Khanna, R., Sherritt, M. & Burrows, S. R. (1999) EBV structural antigens, gp350 and gp85, as targets for ex vivo virus-specific CTL during acute infectious mononucleosis: potential use of gp350/gp85 CTL epitopes for vaccine design. *Journal of Immunology* **162**, 3063–3069.
- Klouda, P. T., Porter, S. R., Scully, C., Corbin, S. A., Bradley, B. A., Smith, R. & Davies, R. M. (1986) Association between HLA-A9 and rapidly progressive periodontitis. *Tissue Antigens* **28**, 146–149.
- Lee, S. P., Tierney, R. J., Thomas, W. A., Brooks, J. M. & Rickinson, A. B. (1997) Conserved CTL epitopes within EBV latent membrane protein 2: a potential target for CTL-based tumor therapy. *Journal of Immunology* **158**, 3325–3334.
- Loe, H. & Brown, L. J. (1991) Early onset periodontitis in the United States of America. *Journal of Periodontology* **62**, 608–616.
- Machulla, H. K., Stein, J., Gautsch, A., Langner, J., Schaller, H. G. & Reichert, S. (2002) HLA-A, B, Cw, DRB1, DRB3/4/5, DQB1 in German patients suffering from rapidly progressive periodontitis (RPP) and adult periodontitis (AP). *Journal of Clinical Periodontology* **29**, 573–579.
- Mantel, N. & Haenszel, W. (1959) Statistical aspects of the analysis of data from retrospective studies of disease. *Journal of the National Cancer Institute* **22**, 719–748.
- Marggraf, E., von Keyserlingk-Eberius, H. J., Komischke, B. & Wöllert, N. (1983) Die Assoziation von Histokompatibilitätsantigenen (HLA-Antigene) mit profunden Parodontopathien. *Deutsche Zahnärztliche Zeitschrift* **38**, 585–589.
- Meng, H., Xu, L., Li, Q., Han, J. & Zhao, Y. (2007) Determinants of host susceptibility in aggressive periodontitis. *Periodontology* **2000** **43**, 133–159.
- Michalowicz, B. S., Diehl, S. R., Gunsolley, J. C., Sparks, B. S., Brooks, C. N., Koertge, T. E., Califano, J. V., Burmeister, J. A. & Schenkein, H. A. (2000) Evidence of a substantial genetic basis for risk of adult periodontitis. *Journal of Periodontology* **71**, 1699–1707.
- Moses, J. H., Tsichti, P., Donaldson, P., Smith, P. B., Johnson, N. W. & Bodmer, J. G. (1994) HLA and susceptibility to juvenile periodontitis in Afro-Caribbeans. *Tissue Antigens* **43**, 316–319.
- Ohyama, H., Takashiba, S., Oyaizu, K., Nagai, A., Naruse, T., Inoko, H., Kurihara, H. & Murayama, Y. (1996) HLA Class II genotypes associated with early-onset periodontitis: DQB1 molecule primarily confers susceptibility to the disease. *Journal of Periodontology* **9**, 888–894.
- Papanou, P. N. (1996) Periodontal diseases: epidemiology. *Annals of Periodontology* **1**, 1–36.
- Paster, B. J., Boches, S. K., Galvin, J. L., Ericson, R. E., Lau, C. N., Levanos, V. A., Sahasrabudhe, A. & Dewhirst, F. E. (2001) Bacterial diversity in human subgingival plaque. *Journal of Bacteriology* **183**, 3770–3783.
- Pepperl, S., Benninger-Doring, G., Modrow, S., Wolf, H. & Jilg, W. (1998) Immediate-early transactivator Rta of Epstein-Barr virus (EBV) shows multiple epitopes recognized by EBV-specific cytotoxic T lymphocytes. *Journal of Virology* **72**, 8644–8649.
- Reichert, S., Stein, J., Gautsch, A., Schaller, H. G. & Machulla, H. K. G. (2003) Gender differences in HLA phenotype frequencies found in German patients with generalized aggressive periodontitis and chronic periodontitis. *Oral Microbiology and Immunology* **17**, 360–368.
- Reinholdt, J., Bay, I. & Svejgaard, A. (1977) Association between HLA-antigens and periodontal disease. *Journal of Dental Research* **56**, 1261–1263.
- Shapira, L., Eizenberg, S., Sela, M. N., Soskolne, A. & Brautbar, H. (1994) HLA A9 and B15 are associated with the generalized form, but not the localized form, of early-onset periodontal diseases. *Journal of Periodontology* **65**, 219–223.
- Slots, J. (2005) Herpesviruses in periodontal diseases. *Periodontology* **2000** **38**, 33–62.
- Socransky, S. S. & Haffajee, A. D. (1992) The bacterial etiology of destructive periodontal disease: current concepts. *Journal of Periodontology* **63**, 322–331.
- Socransky, S. S. & Haffajee, A. D. (2002) Dental biofilms: difficult therapeutic targets. *Periodontology* **2000** **28**, 12–55.
- Stein, J., Reichert, S., Gautsch, A. & Machulla, H. K. G. (2003) Are there HLA combinations typical supporting for or making resistant against aggressive and/or chronic periodontitis? *Journal of Periodontal Research* **38**, 508–517.
- Steven, N. M., Annel, N. E., Kumar, A., Leese, A. M., Kurilla, M. G. & Rickinson, A. B. (1997) Immediate early and early lytic cycle proteins are frequent targets of the Epstein-Barr virus-induced cytotoxic T cell response. *The Journal of Experimental Medicine* **185**, 1605–1617.
- Terasaki, P. I., Kaslick, R. S., West, T. L. & Chasens, A. I. (1975) Low HL-A2 frequency and periodontitis. *Tissue Antigens* **5**, 286–288.
- Tonetti, M. S. & Mombelli, A. (1999) Early-onset periodontitis. *Annals of Periodontology* **4**, 39–53.
- Topic, B., Basic, V., Cokorilo, N. & Malic, M. (1986) HLA frequency and juvenile periodontitis. *Folia Medica Facultatis Medicinae Universitatis Saraviensis* 113–121.
- Van Dyke, T. E. & Sheiresh, D. (2005) Risk factors for periodontitis. *Journal of the International Academy of Periodontology* **7**, 3–7.
- Wills, M. R., Carmichael, A. J., Mynard, K., Jin, X., Weekes, M. P., Plachter, B. & Sissons, J. G. (1996) The human cytotoxic T-lymphocyte (CTL) response to cytomegalovirus is dominated by structural protein pp65: frequency, specificity, and T-cell receptor usage of pp65-specific CTL. *Journal of Virology* **70**, 7569–7579.
- Zinkernagel, R. M. & Doherty, P. C. (1997) The discovery of MHC restriction. *Immunology Today* **18**, 14–17.

Address:  
Jamal M. Stein  
Department of Operative Dentistry  
University Hospital Aachen (RWTH)  
Periodontology and Preventive Dentistry  
Pauwelsstrasse 30, D-52074 Aachen  
Germany  
E-mail: JStein@ukaachen.de

**Clinical Relevance**

*Scientific rationale for the study:* HLA antigens have been considered to be genetic background factors for periodontitis. Owing to inconsistencies in the results of previous reports, a meta-analysis was performed on HLA case-control studies in Cauca-

sians with chronic (CP) and aggressive (AP) periodontitis.

*Principal findings:* No significant association with CP was found. HLA-A9 and -B15 were positively associated with AP. HLA-A2 and -B5 showed a negative association with AP and a total periodontitis group (CP+AP).

*Practical implications:* The results provide a focus for future studies to verify the diagnostic and prognostic value of HLA-A9, -B15 as potential risk markers for AP and HLA-A2, -B5 as protective factors efficiently controlling periodontal infection.